



SD ANIMAL INDUSTRY BOARD
 411 South Fort Street
 Pierre, South Dakota 57501-4503
 Phone: (605) 773-3321
 Fax: (605) 773-5459

AUCTION AGENCY LICENSE RENEWAL APPLICATION

The undersigned hereby makes application for renewal of his license to engage in the business of a Livestock Auction Agency in conformity with the provisions of Chapter 40-15 of the South Dakota Code of Law and declares that the following information is correct:

Please print or type all information:

1. Legal Name of Licensee: _____
2. Mailing Address: _____

 (City) (State) (Zip)

- Contact Manager: _____
 (Name) (E-mail)
- Phone number: _____ - _____ - _____ ext: _____ (Business Home Cell Fax Pager)
 Phone number: _____ - _____ - _____ ext: _____ (Business Home Cell Fax Pager)
 Phone number: _____ - _____ - _____ ext: _____ (Business Home Cell Fax Pager)

3. Business Structure: (a) Individual (b) Partnership (c) Cooperative Association (d) Corporation (e) Other

4. Ownership:

Name	Address	Ownership Share & Interest

5. Total Dollar Amount of Livestock Sold for Commission (Last 12 months) \$ _____ Number of Sales _____

6. Amount of Bond, CD, or Letter of Credit \$ _____ Surety _____

7. Veterinary Inspector: _____ Phone: _____ Fax: _____

8. Day(s) of Sale (circle all that apply): M Tu W Th F Sat Sun

Special Sales (please describe): _____

9. Schedule of Veterinary Inspection Fees to be Charged for each Species Sold at Applicant Agency:

Hogs \$ _____ Cattle \$ _____ Horses \$ _____ Sheep \$ _____ Other \$ _____

- (A) ATTACH: Most current Income Tax Return for each owner/shareholder
- (B) ATTACH: Most current Income Tax Return for operating entity
- (C) ATTACH: Current financial statements
 - I. Personal Financial Statements For Each Owner/Shareholder
 - a. Must be within 12 months preceding application date
 - b. Must be on forms supplied by the Animal Industry Board prepared on a fair market value basis (Form Enclosed)
 - II. Compiled Balance Sheet and Income Statement of the Business Entity
 - a. Must be within the 12 months preceding application date and prepared by a Certified Public Accountant or other individual skilled in the preparation of financial statements in accordance with generally accepted accounting principles
- (D) ATTACH: Copy of Current Tariff Schedules in effect for the Auction Agency.
- (E) ATTACH: Copy of Current P & S Annual Report of Market Agency (Form P&SP-3400)
- (F) ATTACH: Copy of Current P & S Status of Custodial Bank Account For Shippers' Proceeds--Special Report (Form P&SP-3700).
- (G) ATTACH: \$100.00 Check or Money Order. Payable to: South Dakota Animal Industry Board

10. I declare and affirm under the penalties of perjury that this claim (petition, application, and information) has been examined by me, and to the best of my knowledge and belief, is in all things true and correct.

As a further requirement for licensing I agree to immediately notify the South Dakota Animal Industry Board by telephone, and in writing upon any occurrence of a check or other payment document issued by me as the licensee not being immediately paid by the banking institution said check or payment document was issued on.

I further hereby authorize the banking institution handling any or all funds involved in activities as licensee to release any related financial information directly to the South Dakota Animal Industry Board upon the South Dakota Animal Industry Board's request.

11. I authorize the South Dakota Animal Industry Board to share any information and records regarding the agency applying for this license and any of its ownership, management, or employees with USDA, P & SA.

_____ Date _____ 20____
(Authorized Signature of Applicant)

- 12 Auction Agencies are requested and encouraged to report "red flags" related to livestock dealers and auction agencies which may be suggestive of financial problems by calling the Animal Industry Board.

13. _____(name of bank holding custodial account) agrees to immediately report by telephone or fax, any insufficient funds payment vehicles that are not immediately honored and/or any hold or freeze action on the custodial account of

(Auction Agency)

_____ Date _____ 20____
(Authorized Signature of Representative of
Bank holding custodial account)